- 1 DIGITAL SIGNATURE SYSTEM, DIGITAL SIGNATURE METHOD,
- 2 DIGITAL SIGNATURE MEDIATION METHOD, DIGITAL SIGNATURE
- 3 MEDIATION SYSTEM, INFORMATION TERMINAL AND STORAGE MEDIUM
- 4 FIELD OF THE INVENTION
- 5 The present invention relates to a digital signature
- 6 method and a system therefor. In particular, the present
- 7 invention relates to an effective technique applied when a
- 8 document to be signed is an XML document, and when digital
- 9 signature is performed using a portable device such as a
- 10 PDA (Personal Digital Assistants) or a portable telephone
- 11 compatible with i-mode communication.
- 12 BACKGROUND ART
- 13 As network electronic data techniques have developed,
- 14 there has been an accompanying shift away from paper as
- 15 information transmission media to the electronic data
- 16 themselves. Generally, when paper is the medium used, the
- 17 signing or the affixing of a seal is performed as a
- 18 personal confirmation of the contents (information)
- 19 recorded on the paper. However, since electronic data are
- 20 easily copied and during the communication process there
- 21 are many opportunities for the alteration of data, an
- 22 indispensable need exists for a digital signature
- 23 technique that affords high security.

- 1 Public key cryptography (also called asymmetric
- 2 cryptography) and secret key cryptography (also called
- 3 symmetric cryptography) are well known data cryptography
- 4 methods. According to secret key cryptography, a sender
- 5 and a recipient who engage in secure communication each
- 6 hold a shared key. When communicating with the recipient,
- 7 the sender uses the shared key to encrypt information, and
- 8 upon receiving the encrypted information, the recipient
- 9 uses the shared key to decrypt it. As an assumption when
- 10 this method is employed, the shared key is a secret that
- 11 is jointly shared by the sender and the recipient, and if
- 12 the secret, the shared key, is compromised, encrypted
- 13 communications for which the shared key is used will not
- 14 be secure.
- 15 On the other hand, according to the public key
- 16 cryptography, a pair of keys, a public key and a private
- 17 key, are employed, and information encrypted using one key
- 18 can not substantially be decrypted unless the other key is
- 19 used. A user encrypts information using the public key of
- 20 another user that has been obtained in advance, and
- 21 transmits the encrypted information to the subject user.
- 22 Thereafter, the recipient decrypts the received
- 23 information using his or her private key. The advantage
- 24 of this method is that communication security can be
- 25 maintained even when the public key has been disclosed to
- 26 third parties, and no secret key information need be
- 27 shared as a communication prerequisite. A digital
- 28 signature can also be affixed using this public key
- 29 cryptography. That is, a sender, using a private key that

- only he or she has knowledge of, can encrypt a document,
- 2 and a recipient can obtain a public key corresponding to
- 3 the private key and use it to decrypt the document. As a
- 4 result, the contents of the signed document can be
- 5 confirmed. In this case, satisfactory grounds must be
- 6 established to confirm that the disclosed public key
- 7 belongs to the signing person. For this confirmation, a
- 8 certification service provided by a certification
- 9 authority (CA) can be employed. For the user, it is
- 10 important that he or she be able to protect his or her
- 11 private key. If the private key should be exposed, a
- 12 third party could employ the private key to impersonate
- 13 the actual owner of the key. Therefore, for the security
- 14 of a digital signature (both for communication
- 15 cryptography and key distribution) it is imperative that
- 16 absolute protection be afforded a private key.
- 17 For recent electronic commerce (e-business), XML documents
- 18 have been employed as the form used for the exchange of
- 19 data. Since an XML document is a self-descriptive
- 20 structure, more complicated data can be handled
- 21 effectively. Therefore, it is highly possible that XML
- 22 will be employed as a standard not only for B2B (business
- 23 to business) documents, but also B2C (business to
- 24 consumer) documents.
- 25 Because of this background, digital signature
- 26 specifications for XML, XMLDSIG, are being established for
- 27 the WWW Consortium, W3C. The XML digital signature
- 28 technique is expected to be used as a trump card for the

- 1 prevention of data alteration and the acquisition of
- 2 evidence to support a transaction.
- 3 Problems to be Solved by the Invention
- 4 As is described above, the protection of a private key is
- 5 important in order to prove the identity of an
- 6 authenticated user, or to prevent a third party from
- 7 impersonating the authenticated user. Thus, it is not
- 8 secure for a private key to be stored and managed on the
- 9 hard disk of a personal computer; it is advantageous that
- 10 the private key be stored on a security token, such as a
- 11 smart card, that a user can remove and carry.
- 12 However, since a smart card does not have a display
- 13 function, the user must employ a personal computer having
- 14 a card reader to confirm, on its screen, the contents of a
- 15 document to be signed. When, for example, a user
- 16 purchases a product at a shop and signs a transaction
- 17 document for electronic payment, the user confirms the
- 18 contents of the document on the screen of a local personal
- 19 computer or the POS terminal at the shop. At this time, a
- 20 question exists relative to the validity of the contents
- 21 of the displayed document. In this case, if the contents
- of the document transmitted by a transaction organization
- 23 to the terminal were altered before transmission, this
- 24 alteration would not be apparent to the user, who would
- 25 sign a document including terms differing from those
- 26 previously agreed upon. To remove this uncertainty, it is
- 27 advantageous that the user employ a fully secure terminal,

- 1 e.g., his or her own PDA or i-mode portable telephone, to
- 2 confirm a document to be signed.
- 3 However, the following problem has arisen relative to the
- 4 mounting of a digital signature function on a terminal.
- 5 This is an outstanding problem, especially when a portable
- 6 terminal is used to perform the XML digital signature
- 7 function, which in the future will be further developed.
- 8 Since a portable terminal has only a small display screen,
- 9 it is difficult to display complete sentences contained in
- 10 a document that is to be signed. Especially for an XML
- 11 document, the display screen of a portable terminal is
- 12 insufficiently large to display additional tag information
- 13 and other information based on DSIG specifications.
- 14 Further, the calculation resources available to a portable
- 15 terminal are generally limited, and this, imposes an
- 16 exceedingly large load on the portable terminal when
- 17 calculations required for an electric signature are to be
- 18 performed. Since especially for an XML digital signature
- 19 an XML or an XPath processor is required, if such a
- 20 processor is mounted on a portable terminal having only
- 21 limited calculation resources, costs will be increased.
- 22 SUMMARY OF THE INVENTION
- 23 It is, therefore, one aspect of the present invention to
- 24 provide XML digital signature technique and systems for
- using an information terminal, such as a portable

- 1 telephone, having limited calculation resources.
- 2 It is another aspect of the present invention to provide a
- 3 more secure digital signature method and system, or a
- 4 terminal for digital signatures.
- 5 BRIEF DESCRIPTION OF THE DRAWINGS:
- 6 These and other aspects, features, and advantages of the
- 7 present invention will become apparent upon further
- 8 consideration of the following detailed description of the
- 9 invention when read in conjunction with the drawing figures,
- 10 in which:
- 11 Fig. 1 is a block diagram showing an example digital
- 12 signature system according to the present invention;
- 13 Fig. 2 is an example flowchart for a signature method
- 14 according to one embodiment of the invention;
- 15 Fig. 3 is a flowchart for an example signature operation;
- 16 Fig. 4 is a list showing an example document to be signed;
- 17 Fig. 5 is a list showing example summary text;
- 18 Fig. 6 is a list showing a signature template; and
- 19 Fig. 7 is a list showing an example signed document that
- is generated.

1 DESCRIPTION OF THE SYMBOLS

- 2 1: Internet
- 3 2: Signature demandant system
- 4 3: Agent system (agent)
- 5 4: User terminal
- 6 5: Internet service provider (ISP)

7 DETAILED DESCRIPTION OF THE INVENTION

- 8 According to an example of a digital signature method of
- 9 this invention, an agent acts for a signatory by receiving
- 10 a document, such as an XML document, to be signed, and
- 11 generates summary text of the document. The agent then
- 12 transmits the summary text to the signatory, who displays
- 13 it on his or her information terminal and confirms its
- 14 contents. After confirming the contents, the signatory
- 15 signs (encrypts) the summary text, using the private key
- 16 stored in his or her terminal. Thereafter, the signatory
- 17 transmits the signature value (encrypted data) to the
- 18 agent, who generates a signed document, including the
- 19 signature value, and transmits this to a signature
- 20 demandant. Finally, the signature demandant verifies
- 21 (decrypts) the received signed document using the public
- 22 key of the signatory and confirms the contents.
- 23 According to this signature method, the user (signatory)

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- 1 can display summary text that has been converted into a
- 2 form (e.g., text form) that is appropriate for a display
- 3 terminal, and can confirm the contents of a document.
- 4 Furthermore, the calculation load, such as the use of the
- 5 XML processor, is not imposed on the user for the
- 6 cryptography of summary text, and even a device, such as a
- 7 portable terminal, having limited calculation resources
- 8 can satisfactorily encrypt summary text. Since the user
- 9 employs his or her own terminal, the validity of the
- 10 displayed data is unquestionable, and since the private
- 11 key is stored in the user's own terminal, the security of
- 12 the private key can be fully maintained. As a result, a
- 13 secure digital signature method can be provided, according
- 14 to which a signatory is responsible for the contents of
- 15 the summary text, while for the contents of a document to
- 16 be signed that are not included in summary text, the agent
- 17 and the user (signatory) share responsibility within a
- 18 range agreed upon by the agent and the user.. The summary
- 19 text is generated by employing, for example, the XPath of
- 20 an XML document, and for extracting the contents
- 21 (character string) of the XML element. XML digital
- 22 signature permits the usage of the XPath, and the thus
- 23 prepared document, bearing an XML digital signature, will
- 24 conform to the standards established for XML digital
- 25 signature.
- 26 For signing summary text, a hash value (digest value) is
- often generated using a function, such as a unidirectional
- 28 hash function, that is employed to generate for input data
- 29 a uniquely representative value that is difficult to use

- for the regeneration of the data. Then, the document,
- 2 including the digest value, can be encrypted using the
- grivate key in the terminal. Additionally, a signature
- 4 template, which includes a variable field to which the
- 5 hash value (digest value) of the summary text is added,
- 6 can be stored in the terminal. To obtain a signature
- 7 value, a hash conversion can be performed for the
- 8 signature template and the obtained hash value then
- 9 encrypted. The URI of the document to be signed (digital
- 10 document) can also be added to the signature template.
- 11 By using the above signature template, a signature process
- 12 that conforms to the XML digital signature standards can
- 13 be performed, without mounting the XML processor or the
- 14 XPath processor on a terminal. That is, a signature
- 15 template can be prepared in advance using a form that
- 16 conforms to the XML digital signature standards, and can
- 17 be recorded in the terminal. Then, for an XML signed
- 18 document, a required signature value can be generated that
- 19 is later added to an XML signed document that is generated
- 20 by an agent. In other words, the terminal need only
- 21 perform the generation of a hash value for summary text,
- 22 the adding of the hash value to the template (and the
- 23 adding of the URI), the generation of a hash value for the
- 24 template and the cryptography of the hash value. A
- 25 function, such as is supplied by the XML processor, is not
- 26 required.
- 27 In some embodiments, the signature template is
- 28 canonicalized (normalized) using a predetermined

- 1 algorithm. Thus, fluctuations in a document, such as
- 2 blanks or symbols, can be standardized.
- 3 An advantageous embodiment of the present invention will
- 4 now be described in detail while referring to the
- 5 accompanying drawings. It should be noted, however, that
- 6 the present invention is not limited to this embodiment,
- 7 and that it can be implemented with various different
- 8 embodiments. The same reference numerals are used
- 9 throughout to denote corresponding or identical
- 10 components.
- 11 For this embodiment, the explanation given will be mainly
- 12 for the method or the system of the invention; however, as
- 13 will be apparent to one having ordinary skill in the art,
- 14 the present invention can be provided not only as a method
- 15 and a system but also as a storage medium on which a
- 16 computer-readable program can be stored. Therefore, the
- 17 present invention can be implemented as hardware or
- 18 software, or as a combination of the two. An example
- 19 storage medium on which the program can be recorded is an
- 20 arbitrary computer-readable storage medium, such as a hard
- 21 disk, a CD-ROM, an optical storage device or a magnetic
- 22 storage device.
- 23 Further, in this embodiment, a common computer system can
- 24 be employed. The computer system used for this embodiment
- 25 comprises a central processing unit (CPU), a main memory
- 26 (RAM) and a nonvolatile memory (ROM), all of which are

- 1 interconnected by a bus. In addition, a co-processor, an
- 2 image accelerator, a cache memory and an input/output
- 3 controller (I/O) may be connected to the bus. Further, an
- 4 external storage device, a data input device, a display
- 5 device and a communication controller are connected to the
- 6 bus via an appropriate interface. Furthermore, this
- 7 computer system can also include a hardware resource with
- 8 which a computer system is generally equipped. An example
- 9 external storage device can be a hard disk drive; however,
- 10 the external storage device is not thereby limited, and
- 11 may include a magneto-optical storage device, an optical
- 12 storage device, or a semiconductor storage device, such as
- 13 a flash memory. The data input device can be an input
- 14 device, including a keyboard, or a pointing device, such
- 15 as a mouse. The data input device can also include an
- 16 image reader, such as a scanner, or a voice input device.
- 17 An example display device can be a CRT, a liquid crystal
- 18 display device or a plasma display device. Further, the
- 19 computer system can be an arbitrary type of computer, such
- 20 as a personal computer, a workstation or a main frame
- 21 computer.
- 22 Fig. 1 is a block diagram showing an example digital
- 23 signature system according to the invention. The digital
- 24 signature system of this embodiment comprises a signature
- 25 demandant system 2, an agent system 3 and a user
- 26 (signatory) terminal 4, all of which are connected to the
- 27 Internet 1. In this embodiment, the Internet 1 is
- employed; however, the signature demandant system 2, the
- agent system 3 and the user terminal 4 may be connected by

- 1 a wired or wireless private network. Further, instead of
- 2 the Internet 1, a private intranet that only a specific
- 3 users can access may be employed. So long as the systems
- 4 and the terminal can be interconnected by some
- 5 communication means, such a configuration is included in
- 6 the present invention. The signature demandant system 2,
- 7 which is a system for a person who requests a signature,
- 8 issues a document to be signed. The document to be signed
- 9 is an XML document, as will be described in detail later.
- 10 As is described above, general computer system can be used
- 11 for the signature demandant system 2. The signature
- demandant system 2 is, for example, an electronic commerce
- 13 site (EC site). As will be described later, this
- 14 invention can be employed for a case wherein a signature
- is requested for an order slip upon the sale of a product
- 16 (a book in this embodiment) through electronic commerce.
- 17 For the security of electronic commerce, it is
- 18 advantageous that the EC site obtain an order invoice that
- 19 an orderer (user) can not deny later i.e., an order
- 20 invoice bearing the signature of the orderer, and then
- 21 dispatch the product. This order invoice is an electronic
- 22 document, such as an XML document, and the signature is a
- 23 digital signature, such as an XML digital signature. This
- 24 invention can improve the security and safety of
- 25 electronic commerce transactions and can contribute to the
- 26 formation of a suitable transaction order. The use of the
- 27 digital signature system of this embodiment is not limited
- 28 to an EC site. So long as the system of this invention
- 29 can provide evidence to prevent a signatory from denying a
- 30 transaction later, this system is available. The system

1 can be used, for example, for a case wherein an in-house 2 document exchanged via the Internet or an intranet is approved. 3 That is, signature demandant can include not 4 only an EC site, but also any other signature demandant, 5 such as a person who has an in-house approval right or a 6 contract partner. The agent system 3 is a system used by 7 The agent is a third party who mediates an 8 agreement between a signature demandant and a signatory, 9 and who is reliable representative for of both of them. 10 The agent system 3 generates summary text from a document 11 to be signed. Then, as will be described later, to obtain 12 a signed document, the agent system 3 adds a signature 13 value generated by the terminal 4 to a requested document. 14 In other words, the agent system 3 requests that a user (signatory) provide a signature only for the summary text 15 16 of a document to be signed, and employs the signature 17 value to generate a signed document requested by a 18 signature demandant. The summary text is a document 19 obtained by conversion, so that even the user terminal 4 20 can display the main contents of the document to be 21 Since the document is converted into summary text 22 by an agent, the terminal 4 need only display the summary 23 text; it does not have to display all the XML document. 24 Thus, it is easy for a document to be displayed, even when 25 the terminal 4 is a device, such as a PDA or a portable 26 telephone, having a small display screen. Furthermore, 27 the user terminal 4 encrypts summary text, and basically 28 does not have to handle an XML document. That is, the 29 agent system 3 requests that a user provide a signature 30 for summary text that constitutes the substantial portion

- 1 of a contract (promise), and processes the formal portion
- 2 for matching the XML. Therefore, an XML processor, for
- 3 example, need not be mounted on a user terminal 4, and the
- 4 calculation load can be reduced costs lowered. The user
- 5 terminal 4 is an information terminal for a user, and can
- 6 be, for example, a PDA or an i-mode portable telephone.
- 7 The user terminal 4 has a small display screen, and stores
- 8 the private key of the user. Since the user records his
- 9 or her private key on his or her own terminal, the private
- 10 key can be fully protected. For digital signature using
- 11 the terminal 4, the summary text can be displayed on the
- 12 screen of that terminal, so that the user can trust the
- 13 displayed data. The signature template is also recorded
- 14 in the user terminal 4. The function of the signature
- 15 template will be described later.
- 16 When the user terminal 4 is a portable telephone, the
- 17 portable telephone is connected to the Internet 1 via an
- 18 exchange 5 belonging to a carrier (a telephone provider).
- 19 When the user terminal 4 is a PDA, the PDA is connected to
- 20 the Internet 1 via an Internet service provider (ISP) 5.
- 21 These portable terminals may be connected directly to the
- 22 Internet 1 by obtaining an IP address.
- 23 In this embodiment, a portable terminal, such as a PDA or
- 24 a portable telephone, is used as the user terminal 4.
- 25 However, instead of this, a common computer system may be
- 26 employed. It should be noted that when the present
- 27 invention is applied to a portable terminal having a small
- 28 display screen and limited calculation resources, the

- 1 effects are magnified.
- 2 Further, in this embodiment, the agent 3 is employed as an
- 3 independent system; however, the signature demandant
- 4 system 2 may provide the function of the agent 3, or the
- 5 carrier (telephone provider) 5 or the ISP 5 may function
- 6 as the agent 3. Further, an application service provider
- 7 (ASP) may include the function of the agent 3 as a part of
- 8 the service it provided.
- 9 Fig. 2 is a flowchart showing an example signature method
- 10 according to the embodiment. Fig. 3 is a detailed
- 11 flowchart showing an example signed portion in Fig. 2. In
- 12 Fig. 2, the process performed by the signature demandant
- 13 is shown on the left, the process performed by the agent
- 14 is shown in the center, and the process performed by the
- 15 signatory is shown on the right.
- 16 First, the signature demandant system 2 generates a
- 17 document to be signed (step S10).
- 18 Fig. 4 is a list showing an example document to be signed.
- 19 As is shown in Fig. 4, the document to be signed is
- 20 written in XML. A complicated transaction can be
- 21 effectively performed by the information exchange of the
- 22 XML document. It should be noted that on the list in Fig.
- 23 4, the numbers on the left are line numbers. The same
- 24 thing applies to the lists in Figs. 5 to 7. The XML
- 25 document in Fig. 4 is an example book order invoice. An
- 26 <invoice> tag indicates that a document is an invoice

- 1 (line numbers 1 to 25), and the portion enclosed by
- 2 <bookorder> tags represents the contents of a book order
- 3 (line numbers 3 to 10). The title, the ISBN code, the
- 4 volume and the price are written as the order contents in
- 5 the portions enclosed respectively by <title> tags, <ISBN>
- 6 tags, <quantity> tags and <price> tags. Further,
- 7 information concerning a payment is written in the portion
- 8 enclosed by <payment> tags (line numbers 11 to 24). And
- 9 the payment destination, the payment source, the price,
- 10 the payment due date and the payment method are written in
- 11 the portions respectively enclosed by <payTo>, <billedTo>,
- 12 <amount>, <dueDate> and <paymentMethod> tags. In
- 13 addition, payment by card and various card data are
- 14 written in (line numbers 16 to 23). It should be noted
- 15 that this invoice (XML document) is merely an example.
- 16 An explanation will now be given for a case wherein a
- 17 signature demandant (a book vendor in this embodiment)
- 18 prepares the above invoice, and requests a confirmation
- 19 signature be applied to the invoice. The signature
- 20 demandant system 2 transmits the prepared document to the
- 21 agent system 3, and the agent system 3 receives the
- 22 document and records it (step S11).
- Using the document, the agent system 3 generates summary
- 24 text to be signed (step S12). Fig. 5 is a list showing
- 25 example summary text that has been generated. The XPath
- 26 processor is employed to generate summary text. That is,
- 27 the XPath processor is mounted at the agent system 3, and
- 28 the summary text is automatically generated based on the

- 1 document to be signed (invoice in Fig. 4). As is shown in
- 2 Fig. 5, the summary text is a text document that includes
- 3 only the essential portion for an order and payment. The
- 4 agent system 3 then transmits the summary text to the user
- 5 terminal 4, and the user terminal 4 displays it (step
- 6 S13). As is described above, the summary text is plain
- 7 text that includes only an important portion required for
- 8 confirmation. Thus, even a user terminal 4 having a small
- 9 screen can fully display the summary text. The user
- 10 confirms the reliable contents of the summary text
- displayed on the screen (step S14), and signs the summary
- 12 text if he or she agrees with the contents (step S15).
- 13 Fig. 3 is a flowchart for the signature process. For this
- 14 process, first, the digest value of the summary text that
- 15 has been confirmed is calculated (step S20). The hash
- 16 function, for example, is employed for the calculation of
- 17 the digest value. It should be here noted that not only
- 18 the hash function, but also another function can be
- 19 employed that provides a unique value to be output for the
- 20 input data, and further, that it is difficult to perform
- 21 an inverse conversion based on the output value. Then,
- 22 the digest value and the URI for signature are introduced
- 23 into the signature template (step S21). Fig. 6 is a list
- 24 showing an example signature template. The signature
- 25 template is generated in advance to match the document to
- 26 be signed (the order invoice in Fig. 4), and conforms to
- 27 the XML digital signature standards.
- 28 Variable fields are included in the signature template

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- 1 (line numbers 7 and 24). In this embodiment, the target
- 2 URI and the digest value for the summary text are
- 3 allocated to the variable fields. The digest value (hash
- 4 value) of the summary text and the URI of the document to
- 5 be signed are added to the variable fields. The signature
- 6 template is canonicalized using a predetermined algorithm.
- 7 Thus, fluctuations, such as a character code, a blank or
- 8 a symbol, can be removed. Even when these slight
- 9 fluctuations do not affect the contents of a document, the
- 10 hash value greatly differs and interferes with the
- 11 examination of the signed contents. Through
- 12 canonicalization, the occurrence of this barrier can be
- 13 prevented.
- 14 Following this, the digest value is calculated for the
- 15 overall signature template to which the digest value of
- 16 the summary text and the URI of the document are added
- 17 (step S22). The hash function can also be employed for
- 18 the calculation of this hash value. Thereafter, the
- 19 digest value obtained for the overall signature template
- 20 is encrypted by using the private key (step S23). This
- 21 process sequence is the signature operation, and a value
- 22 generated by the cryptography is employed as a signature
- 23 value. The operations performed by the user terminal 4
- 24 are limited to the calculation of the hash values for the
- 25 summary text and the template, and the cryptography using
- 26 a private key. The template is a text document written
- 27 using the character code (Unicode) that is designated by a
- 28 predetermined canonicalization method, and the above
- 29 operations are not those using the XML processor for the

- 1 XML document. That is, the operations impose only a small
- 2 load, so that a device having only limited resources can
- 3 satisfactorily perform them. Therefore, the effects of
- 4 the present invention are magnified when an information
- 5 terminal such as a PDA, which possesses limited
- 6 calculation resources, is employed as the user terminal 4.
- 7 Further, the operation performed by the user terminal
- 8 should be performed in a manner that conforms to the XML
- 9 digital signature specifications. The canonicalization
- 10 method, the signature method, the transformation of the
- 11 summary text and the digest method are designated in the
- 12 specifications. These designated specifications are
- 13 written in the signed document and the signature template.
- 14 For example, in the signature template in Fig. 6, the
- canonicalization method is written on line numbers 2 to 3,
- 16 and canonicalization according to the method must be
- 17 performed. The signature method is written on line
- 18 numbers 4 and 5, and the DSA is designated. Thus, at step
- 19 S23 the cryptography must be performed by the DSA.
- 20 Similarly, the conversion of the document to be signed
- 21 into summary text must be performed according to the
- 22 transformation type (line numbers 9 to 19), and the
- 23 calculation of the digest value (line numbers 20 and 21)
- 24 must be performed by SHA1. Since the signature template
- is canonicalized, it is written using the unicode (UTF-8).
- 26 The user terminal 4 transmits the thus obtained signature
- value to the agent system 3, and in accordance with the
- 28 received signature value the agent system 3 generates a
- 29 signed document (step S16). Fig. 7 is a list showing an

- 1 example signed document. The same information as the
- 2 information (<SignedInfo>) entered in the signature
- 3 template is written in the signed document, so that it
- 4 matches the signature template.
- 5 "http://www.myagent.com/myorder/2000/0321.xml"
- 6 (the same value as is added to the signature template) is
- 7 added to the target URI, and the digest value (line number
- 8 19) and the signature value (line 24) received from the
- 9 user terminal 4 are also added. Finally, the public key
- 10 information (line numbers 26 to 44) for the signatory is
- 11 added to obtain a signed document. The agent system 3
- 12 transmits the signed document to the signature demandant
- 13 system 2, and the signature demandant system 2 confirms
- 14 the contents of the received signed document (step 17).
- 15 The signature demandant decrypts the signature value (line
- 16 number 24) using the public key information (line numbers
- 17 26 to 44) for the signed document. Further, at this time
- 18 the signature demandant can employ the signed information
- 19 (line numbers 3 to 22) to generate the summary text of a
- 20 document and the digest value of the summary text, so that
- 21 the hash value before cryptography can be obtained. When
- 22 the decrypted hash value and the calculated hash value
- 23 match, the legality of the signature can be authenticated.
- 24 According to the signature method and signature system,
- 25 the XML digital signature (XMLDSIG) can be performed using
- 26 an information terminal, such as a portable terminal,
- 27 having limited calculation resources and a small display

- 1 screen. According to the system and the method of this
- 2 embodiment, since a private key is stored in a portable
- 3 information terminal, the terminal can serve as one type
- 4 of security token, and the security for the private key
- 5 can be improved. Further, since a signatory can confirm
- 6 the contents of the summary text on a reliable display
- 7 screen, the reliability of the transaction can be
- 8 improved.
- 9 Since the signatory provides a signature only for the
- 10 summary text, he or she is responsible only for the signed
- 11 summary text. In other words, regardless of what data is
- 12 included in the XML document, the responsibility of the
- 13 signatory is limited to only the range represented by the
- 14 signed summary text. As for the responsibilities of the
- 15 agent, the guarantee service can be provided at various
- 16 levels depending of the policies of the agent.
- 17 For example, in some embodiments there is a "non-guarantee"
- 18 policy". According to this policy, the agent is not
- 19 responsible at all for contents other than the data
- 20 included with the signature.
- 21 In addition, in some embodiments there is a
- 22 "post-alternation prevention policy". According to this
- 23 policy, contents other than those included with the
- 24 signature are prevented from being altered later by a
- 25 malicious third party. The agent signs the XML document
- 26 and stores it, or may request that this operation be
- 27 performed by an external authentication service. In some

- 1 embodiments there is also a "pre-session recording
- 2 policy". According to this policy, the agent guarantees
- 3 that a series of sessions will be arranged for obtaining
- 4 the signature. To do this, a series of interactions for
- 5 selecting various options and designating conditions
- 6 before the purchase must be performed through the agent.
- 7 The agent signs the target document and stores it, while
- 8 recording each of these pre-sessions. Even if a malicious
- 9 user does attempt to interfere with the transaction,
- 10 evidence as to what information was transmitted to the
- 11 user terminal is maintained, so that the electronic
- 12 commerce site is afforded some guarantee as to contents
- 13 other than the signed portion. Meanwhile, since the user
- 14 can also be guaranteed that he or she will have any
- 15 questions clarified, the user can profess ignorance of
- anything that he or she does not recognize.
- 17 Furthermore, in some embodiments there is a "target
- 18 document contents check policy". According to this
- 19 policy, the agent employs the profile of the user to
- 20 determine whether contents other than the signed portion
- 21 includes articles disadvantageous to the user. The
- 22 contents of the checking are based on a contract that the
- 23 user and the agent execute in advance. If the user is
- 24 unsure about the honesty of the agent, the user, uncertain
- 25 for a dishonest agent, after the fact, can determine
- 26 whether any illegal checks were made by using the post
- 27 alteration prevention policy and the external
- 28 authentication service.
- 29 Moreover, since these policies are employed together, the

- 1 agent can provide a flexible service. The present
- 2 invention has been explained by referring to the
- 3 embodiment. However, the invention is not limited to the
- 4 embodiment, and can be variously modified without
- 5 departing from the scope of the invention. In this
- 6 embodiment, the private key and the signature template are
- 7 stored in the user terminal 4. However, the private key
- 8 and the signature template may be recorded on a detachable
- 9 storage medium, such as a smart card, and may be read by
- 10 loading the storage medium into the terminal 4. Further,
- 11 a signature calculation program may also be recorded on
- 12 the detachable storage medium, and the above signature
- 13 process may be performed by loading this recording medium
- 14 into the terminal 4.
- 15 The typical effects obtained by the invention are as
- 16 follows. The XML digital signature can be performed by
- 17 using an information processing terminal, such as a
- 18 portable terminal, having limited calculation resources.
- 19 Further, a more secure, safer digital signature method and
- 20 system, or a terminal for digital signature, can be
- 21 provided.
- 22 The present invention can be realized in hardware, software,
- 23 or a combination of hardware and software. A visualization
- 24 tool according to the present invention can be realized in a
- 25 centralized fashion in one computer system, or in a
- 26 distributed fashion where different elements are spread
- 27 across several interconnected computer systems. Any kind of
- 28 computer system or other apparatus adapted for carrying

- 1 out the methods and/or functions described herein is
- 2 suitable. A typical combination of hardware and software
- 3 could be a general purpose computer system with a computer
- 4 program that, when being loaded and executed, controls the
- 5 computer system such that it carries out the methods
- 6 described herein. The present invention can also be
- 7 embedded in a computer program product, which comprises all
- 8 the features enabling the implementation of the methods
- 9 described herein, and which when loaded in a computer
- 10 system is able to carry out these methods.
- 11 Computer program means or computer program in the present
- 12 context include any expression, in any language, code or
- 13 notation, of a set of instructions intended to cause a
- 14 system having an information processing capability to
- 15 perform a particular function either directly or after
- 16 either or both of the following conversion to another
- 17 language, code or notation, and/or reproduction in a
- 18 different material form.
- 19 Thus the invention includes an article of manufacture
- 20 comprising a computer usable medium having computer
- 21 readable program code means embodied therein for causing a
- 22 function described above. The computer readable program
- 23 code means in the article of manufacture comprising
- 24 computer readable program code means for causing a
- 25 computer to effect the steps of a method of this
- 26 invention. Similarly, the present invention may be
- 27 implemented as a computer program product comprising a
- 28 computer usable medium having computer readable program

- 1 code means embodied therein for causing a a function
- 2 described above. The computer readable program code means
- 3 in the computer program product comprising computer
- 4 readable program code means for causing a computer to
- 5 effect one or more functions of this invention.
- 6 Furthermore, the present invention may be implemented as a
- 7 program storage device readable by machine, tangibly
- 8 embodying a program of instructions executable by the
- 9 machine to perform method steps for causing one or more
- 10 functions of this invention.
- 11 It is noted that the foregoing has outlined some of the
- 12 more pertinent objects and embodiments of the present
- 13 invention. This invention may be used for many
- 14 applications. Thus, although the description is made for
- 15 particular arrangements and methods, the intent and
- 16 concept of the invention is suitable and applicable to
- other arrangements and applications. It will be clear to
- 18 those skilled in the art that modifications to the
- 19 disclosed embodiments can be effected without departing
- 20 from the spirit and scope of the invention. The described
- 21 embodiments ought to be construed to be merely illustrative
- 22 of some of the more prominent features and applications of
- 23 the invention. Other beneficial results can be realized by
- 24 applying the disclosed invention in a different manner or
- 25 modifying the invention in ways known to those familiar with
- 26 the art.